


**malaria
consortium U.S.**
disease control, better health

MALARIA CONSORTIUM US, INC.

FY21 Annual Fundraising and Grant Report



About Malaria Consortium-US, Inc.

Malaria Consortium US, Inc. (MC-US) is a registered 501(c)(3) tax-exempt charity and the US entity of Malaria Consortium, a global leader dedicated to bringing an end to deaths from malaria and other treatable and preventable diseases in Africa and Asia. Our mission is to improve lives in Africa and Asia through sustainable, evidence-based programs that combat targeted diseases and promote universal health coverage. We do this by liaising with foundations, donors, and partners in the US to ensure all philanthropic donations make a positive impact for those most in need.



Seasonal malaria chemoprevention health worker in Burkina Faso Credit: Sophie Garcia

MC-US incorporation, registration and tax-exempt status

MC-US was incorporated on March 12, 2009, in the Commonwealth of Virginia and as of 2021 remains in good standing. MC-US received 501(c)(3) public charity tax-exempt status with the IRS in April 2011 and was assigned the EIN 98-0627052. MC-US is also authorized by the State of North Carolina (NC) to transact business, the office of MC-US is currently located in Raleigh; NC.

From 2011 to 2018 MC-US filed Form 990-N e-postcards with the IRS as required for annual charitable donations of less than \$50,000. Since 2019, revenue has grown above \$50,000 and IRS Form 990E has been filed annually. These IRS forms can be found by searching for Malaria Consortium US, Inc. or with our EIN in the [IRS tax-exempt organization database](#).



Seasonal malaria chemoprevention recipient in Burkina Faso Credit: Sophie Garcia

MC-US leadership

MC-US is governed by a volunteer [Board of Directors \(BoD\)](#) with a set of established by-laws. The MC-US BoD meet twice a year to ensure MC-US is in compliance with US State and Federal regulations for 501(c)(3) charities, review Malaria Consortium's operational and technical strategy, oversee financials, approve and award grant distributions which support new and existing projects, and review grant reports to ensure outcomes are being met. There are currently three directors, and each director has one vote.

MC-US is also comprised of three 'officers' – the Chief Executive of Malaria Consortium, the Chief Financial Officer and the MC-US Representative who serve as President, Treasurer and Secretary of MC-US respectively.

The BoD retains pro-bono legal counsel with Morrison & Foerster LLP, an international law firm located in Washington, DC.

Grant award process

MC-US grants are currently awarded to projects in host countries based on whether the charitable donations are restricted to specific projects, such as Malaria Consortium's seasonal malaria chemoprevention (SMC) program, or they are unrestricted to be used where funds are needed most.

The MC-US BoD is responsible for awarding restricted or unrestricted grants to Malaria Consortium projects based on available funds from donations and where they can have the greatest immediate impact.

MC-US follows a clear set of [procedures and protocols for grant application, approval, award and reporting](#) which can be found on our website.

MC-US Financials

The fiscal year for MC-US Inc. matches that of Malaria Consortium commencing on April 1st and ending on March 31st of the following year. A management fee of 12 percent is applied to restricted grants awarded for SMC.

IRS filing

MC-US taxes are filed each year on August 15th (the 15th day of the fifth month after the end of the organization's fiscal year).

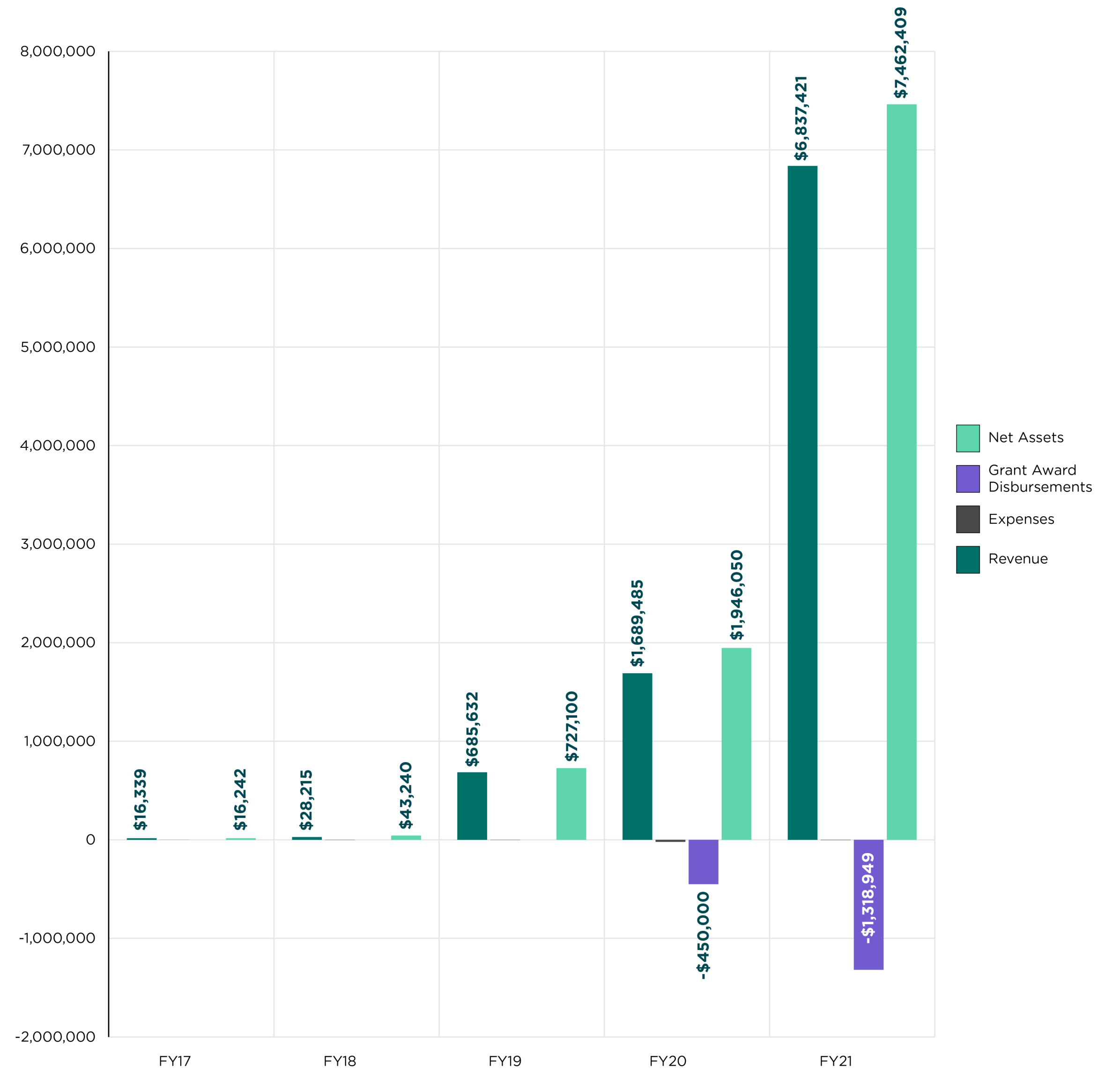
As outlined in the [IRS guidelines for annual reporting requirements for exempt organizations](#), 501(c)(3) tax-exempt charities with an annual gross revenue of \$50,000 or less are required to file a Form 990-N e-postcard. MC-US filed and e-postcard between 2011 and 2018. Beginning in FY19, when our annual growth exceeded \$50,000, MC-US has filed the long Form 990-E. FY21 MC-US taxes are available for public inspection on [the IRS website](#).

Financial Audits

MC-US has engaged the services of Hollingsworth Avent Averre & Purvis, P.A. in Raleigh, NC to conduct an audit of fiscal statements of Malaria Consortium US, Inc., which will comprise the statement of fiscal position as of March 31, 2021, and the related statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the fiscal statements (the fiscal statements). The outcome of the audit report will be shared on the public website when available. MC-US has experienced a steady five-year financial growth from charitable contributions since it began fundraising in 2017. Malaria Consortium's SMC program was identified as a [GiveWell Top Charity](#) the same year. Restricted and unrestricted grant awards and distribution to countries began in the FY20 when there was sufficient revenue to award grants.

Expenditures have been kept to a minimum to maximize the size of our grant awards.

MC-US 5-year annual revenue growth and expenditure



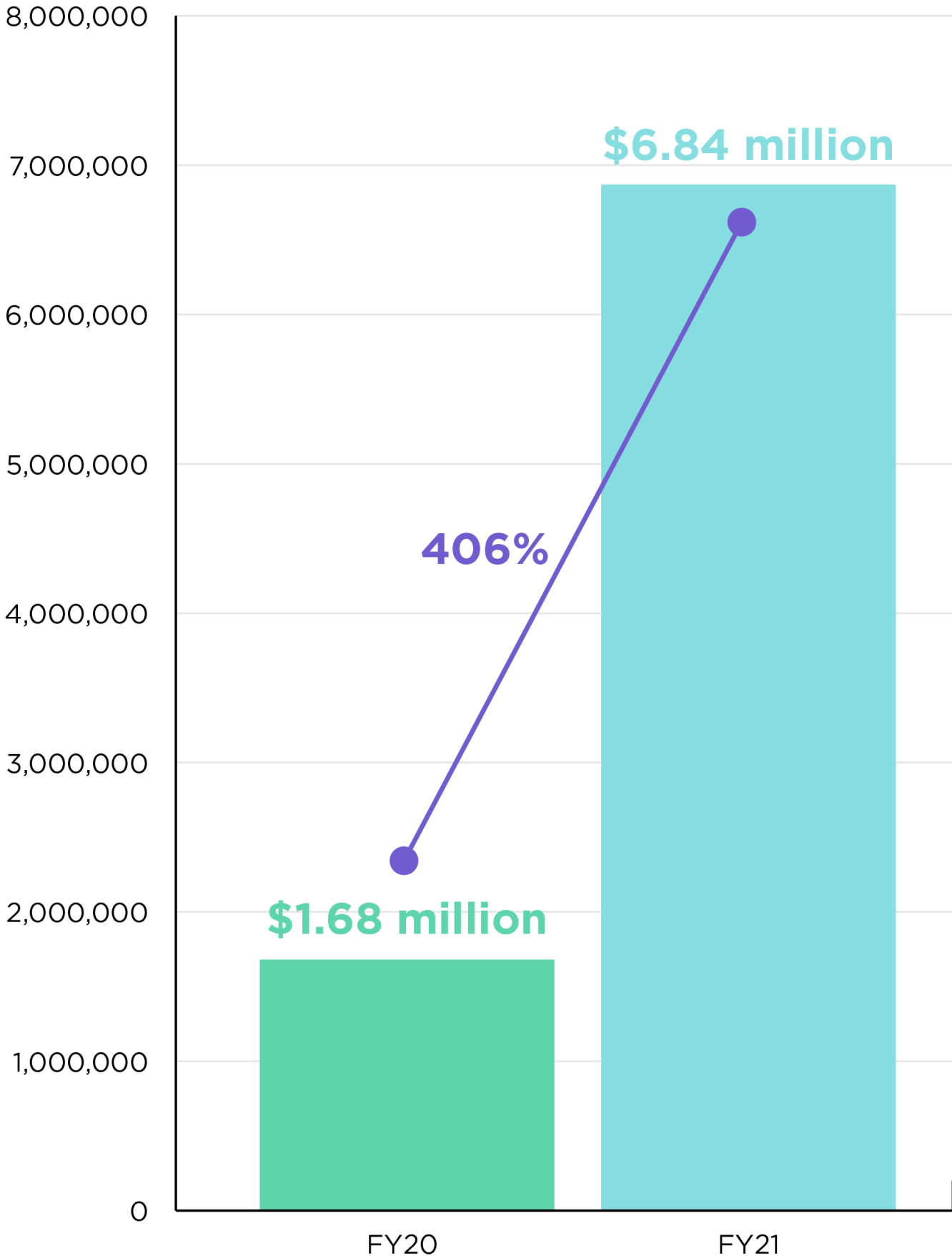
Revenue for fiscal year 2021

In a year in which the COVID-19 global pandemic challenged the health and economies of impoverished communities in Africa and Asia, MC-US is infinitely grateful for the overwhelming generosity and support we received from each and every one of the thousands of donors who contributed to our mission in FY21. Many of you have written very heartfelt notes and letters for which we are deeply appreciative. The review of the grants awarded in FY21 demonstrate the immense impact your donations have made to the children and families in the countries where we serve.

Despite the economic hardship experienced by many organizations due to the COVID-19 pandemic, MC-US experienced a **406 percent growth** in charitable donations during FY21 as compared to FY20. We want to thank everyone who donated to MC-US this year, every dollar makes a difference.

Most of the FY21 donations (\$6,186,178) were made between late December 2020 and the end of March 2021 with an average donation of between \$500 and \$1000.

Annual revenue growth FY20 to FY21



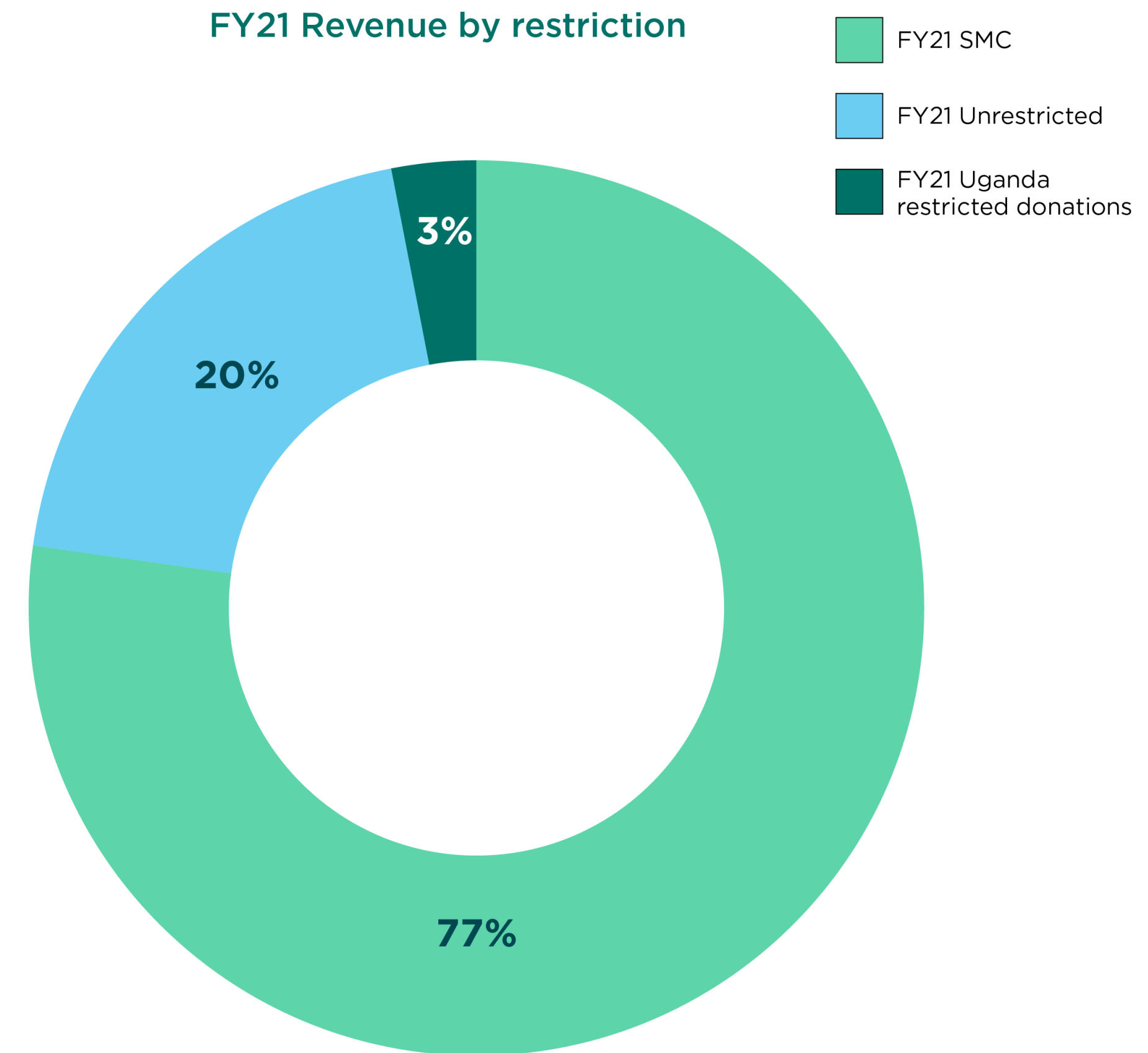
The majority of donations (77 percent) to MC-US were restricted to Malaria Consortium's seasonal malaria chemoprevention (SMC) program. This is primarily due to donors learning about Malaria Consortium's SMC program being named a GiveWell top charity since 2016. In December 2020, Malaria Consortium's SMC program was named again as the number one [GiveWell Top Charity](#) for the fourth year.

In addition to the repeated and generous donations restricted to our SMC program by numerous individuals, family foundations, corporate matching funds, and academic institutions, other foundations such as The

GiveWell Fund, The Life You Can Save, ReThink Charity, and Effective Altruism. MC-US received two large SMC restricted donations in the amounts of \$2 million in December 2020 and \$1.5 million in March 2021 from a family foundation.

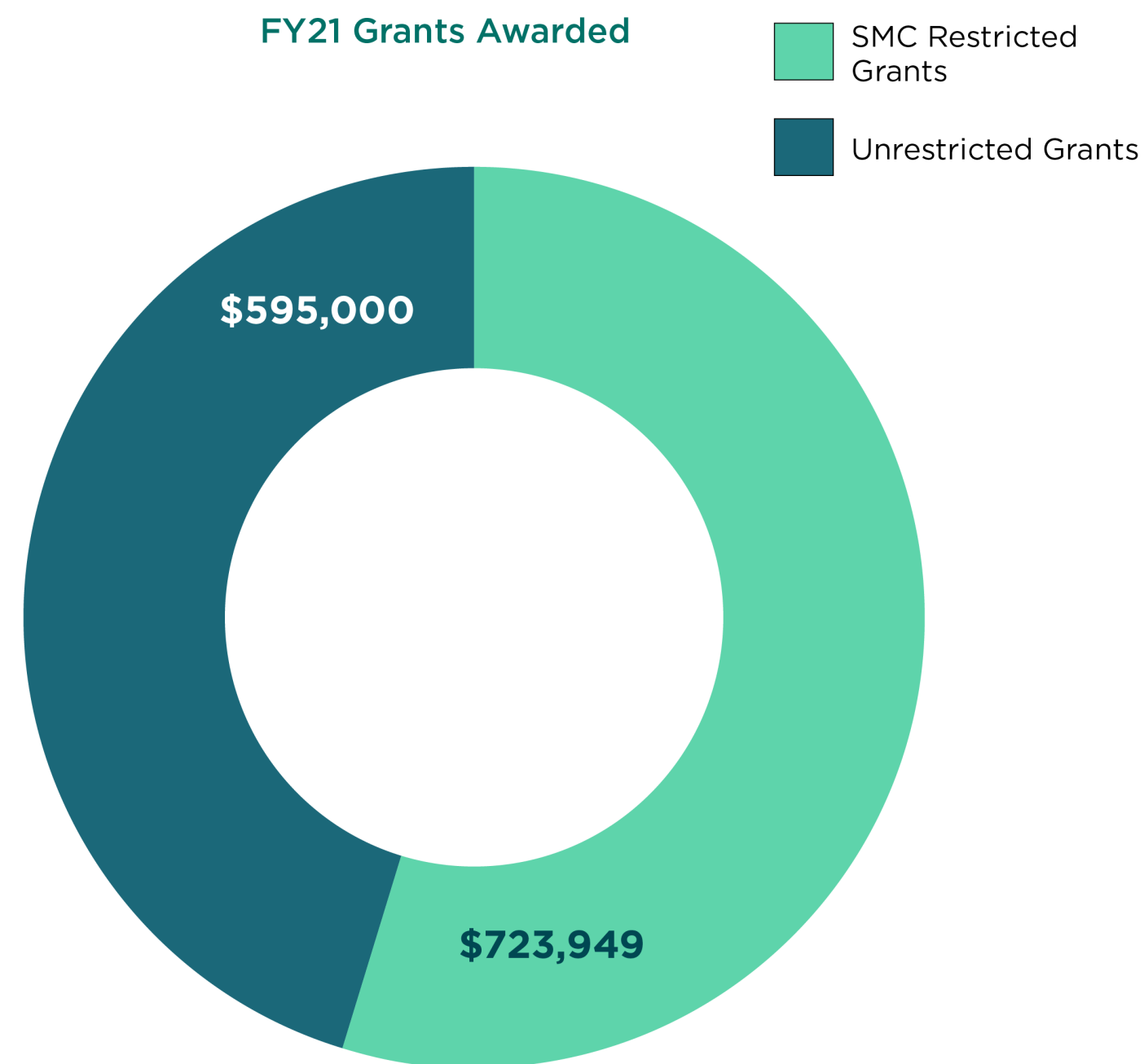
Of these, The Life you Can Save and Rethink Charity Forward donated exclusively to Malaria Consortium's SMC program.

One anonymous donor chose to restrict their donation to help support Malaria Consortium's *Strengthening Uganda's Response to Malaria* (SURMA) project which lost UK government funding due to the impact of COVID-19.



Grants awarded in fiscal year 2021

During FY21 (April 1, 2020, to March 31, 2021) the MC-US BoD awarded five grants to Malaria Consortium projects totaling \$1,318,949. One grant was awarded from SMC restricted funds to support the SMC project in Nigeria for \$723,949. Four grants were awarded from unrestricted funds totaling \$595,000 for projects in South Sudan for \$150,000, Uganda for \$175,000 and \$170,000, and in Mozambique for \$100,000.



South Sudan - Boma Health Initiative

A grant of \$150,000 was awarded to South Sudan to help meet required co-financing for delivering the Boma¹ Health Initiative (BHI). Launched by Government of the Republic of South Sudan, the BHI is a standardized package of community health services delivered to improve access to essential health services, strengthen linkages between communities and primary health facilities, and increase community ownership and governance of health services to improve the reach of health service in communities is a national. The integrated package includes health promotion, disease prevention and selected treatment packages delivered by community health workers to help bridge the gap between health facilities and communities. To fully fund this country-wide initiative, a pooled health fund of a large mix of development donors and NGOs was assembled.

This grant enabled the successful roll out of the BHI for approximately 1.2 million children in three counties of Warrap State (Gogrial East,



Locals in South Sudan

West and Twic) and two counties of Upper Nile state (Nasir and Ulang) supporting the following activities in South Sudan:

- Funded costs (43 percent) to run health facilities in Awiel State.
- Coordination of sensitization meetings for 537 county community leaders on the BHI approach.
- Recruitment and training of 570 BHI community health workers on management of child health in the community which included malaria, pneumonia, diarrhea, malnutrition and immunization.

¹ A boma is the lowest-level administrative division in South Sudan, varying in size and typically contain many individual villages.

Nigeria - SMC

In FY21 the MC-US BoD awarded Nigeria's SMC program \$723,949 to procure and coordinate the shipment of quality-assured SMC medicines (SPAQ) for an estimated 470,000 children in two Nigerian states, Jigawa and Kebbi.

This grant contributed to successful delivery of SMC between July and November 2020 in Jigawa and Kebbi states including in areas not previously implemented. More than 1.7 million SPAQ medicine blister packs were consumed over the course of the 2020 SMC campaign leaving 185,000 blister packs for use in the 2021 campaign.

80 percent of the grant used to procure blister pack doses of SMC medicines (SPAQ), while 8 percent paid for international freight and import duties to transport the drugs from the manufacturer in China to Nigeria. The remaining 12 percent covered the standard management fee applied with SMC restricted grants.



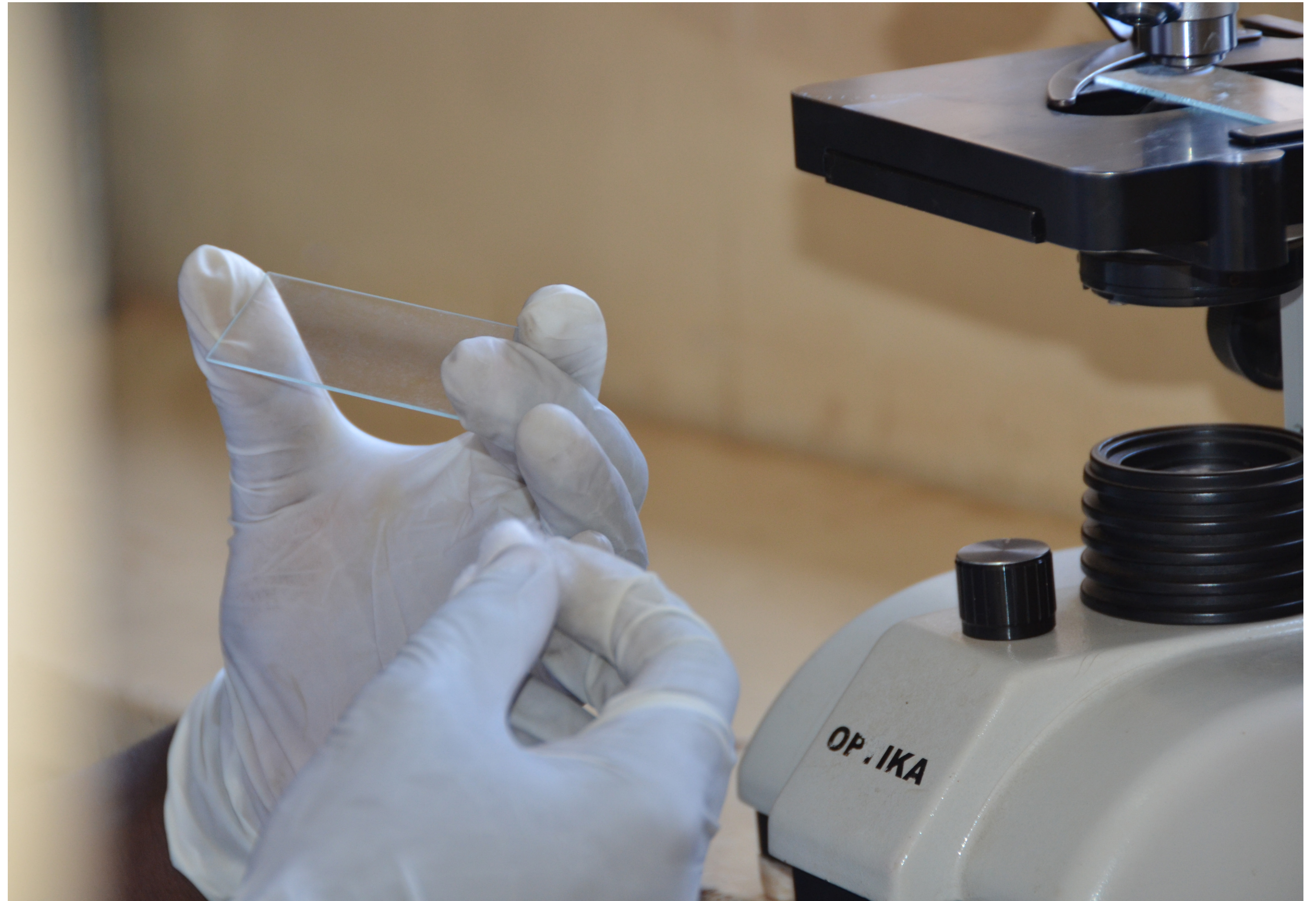
Care giver directly administering SPAQ to her child in a Fulani settlement of Sokoto state, Nigeria

Uganda - COVID-19 and malaria co-infection study:

\$175,000 was awarded to fund a research study to understand interactions and clinical consequences of co-infection of COVID-19 and malaria in Uganda. The study was conducted from mid-April to end of October 2020 at COVID-19 treatment centers in eight tertiary hospitals in Uganda.

Results from this ground-breaking research, were published in preprint in the [Lancet Microbe](#) journal and learnings from the process of conducting the research were published in the [European Journal of Clinical Medicine](#) and presented to multiple stakeholders in Uganda including the Ministry of Health, USAID, and CDC, as well as to the COVID-19 and Malaria working groups at the World Health Organization, to the NIH, the Gates Foundation and the University of California San Francisco.

100 percent of the grant was spent to recruit investigators, conduct the research, analyze the results and write the report.



Mozambique - COVID-19 adaptations to upSCALE:

\$100,000 was awarded to rapidly build on the upSCALE platform to support the COVID-19 response at community level. upSCALE is an m-Health project used in seven provinces in Mozambique which uses a smartphone-based application to guide community health workers, known as APEs, to guide them through patient consultations.

This grant was used to apply telemedicine to support the COVID-19 response at community level in Mozambique through SMS messaging, training modules, stock control and disease surveillance and demonstrate and tested the new platform with 1,199 health workers and 342 supervisors in three provinces.

As Mozambique became affected by the COVID-19 pandemic in April 2020, and restrictions to travel for group meetings and training were put in place, the Ministry of Health recognized the need to accurately train APEs remotely on how to prevent transmission of COVID-19 and identify symptoms. They also identified the need update the upSCALE application to enable APEs to differentiate a

diagnosis between COVID-19, malaria and pneumonia and regularly update the digital content as new evidence about COVID-19 became available, in addition to providing national COVID-19 surveillance data.

As a result of this grant, upSCALE integrated a diagnostic algorithm for COVID-19 and differential diagnosis for malaria, pneumonia, and diarrhoea, delivering COVID-19 disease messaging and awareness materials via the app and SMS texts. The stock management system was updated to include COVID-19 materials such as face masks and gloves and a COVID-19 dashboard for national disease surveillance was developed.

In addition to strengthening key relationships within the MoH, this project also resulted in a peer reviewed publication in PLOS ONE entitled '[Community health worker knowledge, attitudes and practices towards COVID-19: learnings from an online cross-sectional survey using a digital health platform, upSCALE, in Mozambique.](#)'



Health workers using the upSCALE app

Uganda - upSCALE digital platform:

In October 2020, MC-US awarded \$170,000 to support the integrated community case management (iCCM) program in the central region of Uganda to pilot the upSCALE digital platform. The aim is to capture community health worker data in the Buikwe district and test if m-Health applications can be used to improve community health worker motivation through mentorship with the expectation that the quality of malaria care would be improved.

This program is ongoing, to date, the development of the upSCALE mobile app has been completed including the diagnostic and treatment modules for malaria, pneumonia, diarrhea and COVID-19. All phones and sim cards for the community health workers have

been purchased and a local mobile phone provider has been contracted to provide unlimited cellular data. Trainers are currently being trained how to use the mobile software and the phones are being programmed and tested for access and security permissions with the national health surveillance system. The next step will be to train the community health workers and test the program. If the program is found to be feasible, effectively improving community health data capture and community health worker motivation and service delivery, it is expected the MoH will scale it up across the country. This will depend on available funding with continued donor support.



Health workers in Uganda

Philanthropic Fundraising

MC-US receives charitable donations from a variety of generous donors in the US through online fundraising platforms, including the Malaria Consortium donation website, US corporate matching gift programs, individual, family and religious foundations, and American academic institutions. An annual [Giving Tuesday Campaign](#) is promoted through Malaria Consortium's communication platforms in the US.

Individual and family foundations through DAFs

MC-US receives considerable contributions from individuals and family foundations through donor advised funds (DAFs). Donations in FY21 via DAFs accounted for the most numerous and largest donations to MC-US, totaling \$4,503,887; many of these are monthly donors. The highest

proportion of revenue was received from DAFs such as Fidelity Charitable (\$3,907,303), Vanguard Charitable (\$1,086,265, and Schwab Charitable (\$134,715). Of these \$103,477 were received from donors who wished to remain anonymous.

Corporate and employee matching gifts

MC-US experienced an increase in US corporate and employee matching donations in FY21 totaling \$234,238. Registering with non-profit platforms and employee matching corporate giving programs such as Your Cause, Benevity Fund, Bright Funds and Cyber Grants helped to generate these increased donations.

Corporations and employees donating consistently through matching funds in FY21 included:

- **Banking, insurance and finance:** Bank of America, Price Waterhouse Coopers, Two Sigma, NY Life Insurance, Viking Global, Heitman and TIAA
- **Science and technology:** Batelle, Google, Microsoft, AT&T, Simons, IBM, Red Hat, Medtronic, and Lawrence Livermore National Laboratory
- **Pharmaceuticals:** Bristol Myers Squibb, Johnson & Johnson, Merck, Alexion, and Pfizer
- **Energy companies:** General Electric, Exelon, Nextera, and Noble
- **Food and agriculture:** Cargill, Walmart, and Pepsi
- **Charitable corporate pledge organizations** such as Founders Pledge.

Charitable donation platforms

As a registered 501(c)(3), MC-US is registered on multiple charitable donation platforms which support individual donations and corporate matching, these include [Guide Star](#) where MC-US has a Platinum Seal of Transparency, [Charity Navigator](#), [The Life You Can Save](#), [ReThink Charity Forward](#), [Giving What We Can](#), [PayPal](#), Benevity Fund, Your Cause, Bright Funds, Social for Good Fund, Charities Aid Foundation of America, , United Way, and Amazon Smile.

The following charitable organizations and platforms contributed a total of \$706,556 in FY21.

The below outlines all charitable donation platforms through which MC-US receives funds.

The Life You Can Save is based on the ideology of founder Peter Singer, this organisation selects charities based on effectiveness and so is largely influenced by the recommendations of GiveWell. Malaria Consortium receives periodic donations from this organisation and occasionally assists the organisation to communicate to their users about Malaria Consortium's SMC work. All donations received from this organisation are restricted to the SMC program.

Benevity Fund is a Canadian organization which provides charitable donation-management and grant-management platforms for individual and corporate donors including 250 of the Fortune 1000 companies.

Guide Star manages one of the largest data base repositories of information on every non-profit registered with the IRS as tax exempt. Their mission is to providing information that advances transparency, enables users to make better decisions, and encourages charitable giving. GuideStar is a 501(c)(3) public charity

YourCause NPO Connect is a free portal that nonprofits can use to create a charity profile to receive and manage donations from a network of over 500 organizations who are active participants in social good.

ReThink Charity Forward is a Canadian cause-neutral donation routing fund for high-impact charities around the world. All donations received from this organization are restricted to the SMC program.

PayPal Giving Fund is the charity platform that powers donations made via PayPal itself and other platforms including eBay. Malaria Consortium receives periodic disbursements from this stream as a result of their users choosing to add a donation to their purchases and then selecting MC-US as the beneficiary.

Social for Good Fund helps to sponsor and develop projects that will help positively impact and develop global communities into healthier and happier places to live, work, and be.

Bright Funds powers a technology platform for employee giving.

Charities Aid Foundation of America is a non-profit organization which provides grant making services for donor advised funds, donor advised gifts, employee giving programs, and charity friends funds.

Giving What You Can is an effective altruism-associated organization whose members pledge to give at least 10 percent of their income to effective charities. All donations received from this organisation are restricted to the SMC program.

Charity Navigator is a charity assessment organization that evaluates charitable organizations based in the United States, operating as a non-profit 501© (3) non-profit organization

United Way is an international network of over 1,800 local non-profit fundraising affiliates.

Amazon Smile is a service offered by Amazon that allows shoppers to choose a charity to benefit from their purchases at no cost to them. For each eligible purchase made under this service, Amazon donates 0.5 percent to the shopper's chosen charity.

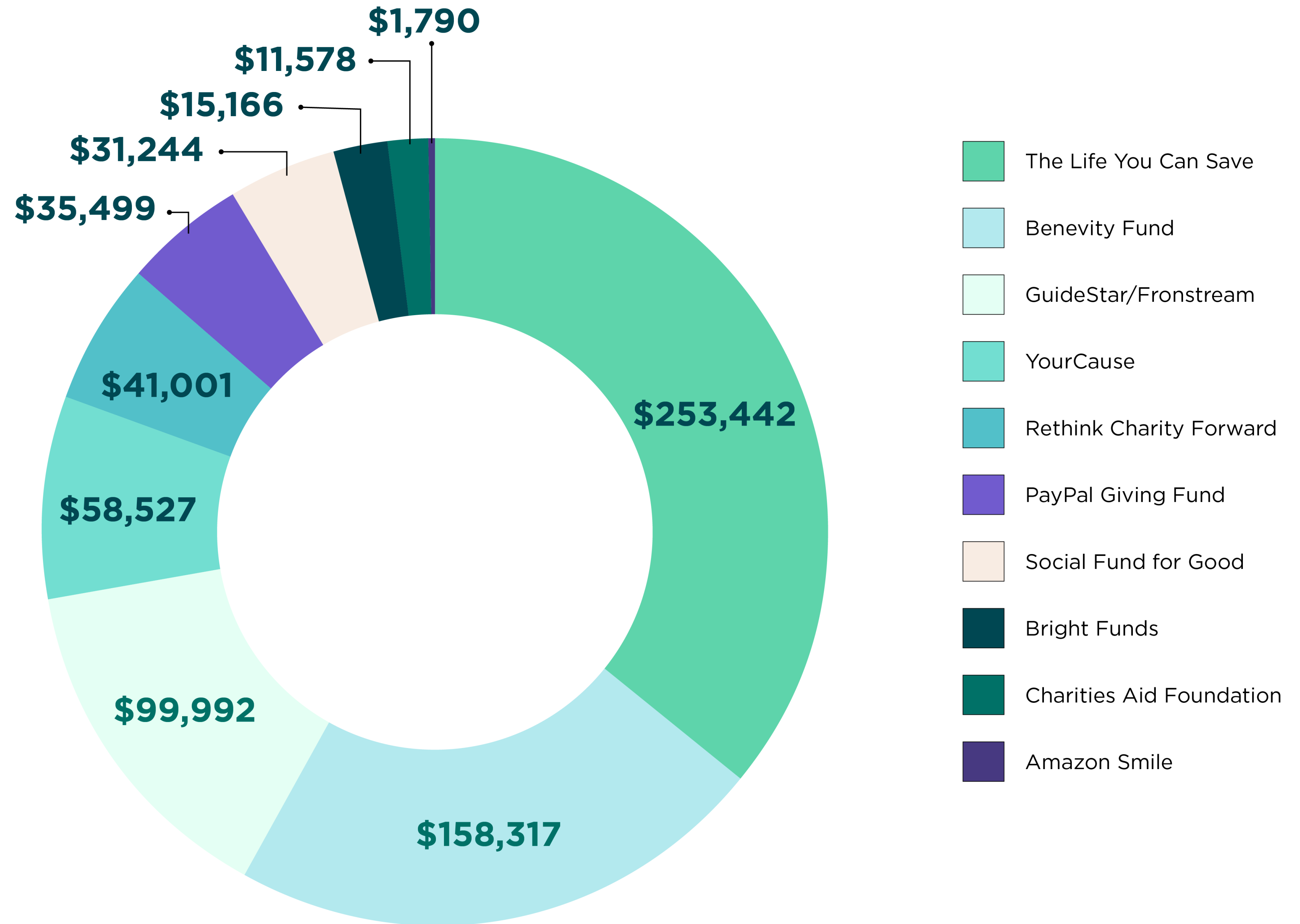
Academic giving

Effective altruism students at the University of Texas-Austin selected MC-US as their charity of choice in 2020 and awarded MC-US a grant of \$19,500 to support the SMC program. The University of California San Diego donated \$5,710.

Donations via MC-US donation page and social media

In November 2020, a new payment gateway was integrated into the [Malaria Consortium website](#) allowing for donations in a selection of currencies including USD. Stripe allows recurring gifts and provides a low-cost management fee. A total of \$240,610 was donated to MC-US through Stripe between November 30, 2020, and March 31, 2021.

In 2020 MC-US, in collaboration with [Effective Altruism](#), conducted a fundraising campaign for Giving Tuesday through [Malaria Consortium's Facebook page](#). This campaign raised a total of \$244,124, of which \$210,012 was restricted to SMC and \$34,112 unrestricted.



Looking ahead

Continue to fundraise and explore new funding opportunities

We are exploring options for our donors to have additional opportunities to make donations and gift planning. Options may include legacy giving through wills, bequests, trust funds and IRAs, and direct donations for US Treasury Bonds, US Government Savings Bonds, stocks and shares and cryptocurrency.

Continue to award grants in support of the SMC program

US donors continue to give generously to Malaria Consortium's SMC program. We expect to continue delivering SMC as long as it continues to be effective at reducing the burden of childhood malaria and remains cost-effective. The SMC program continues to grow and expand into other countries and regions and MC-US revenue will continue to fund research linked to the SMC program, including the potential for combining the SMC community delivery platform with other interventions such as Vitamin A supplementation, community case management and seasonal vaccination with malaria vaccines.

Continue to award unrestricted grants to support projects aligned with the organization's 2021-25 strategic objectives

The BoD will evaluate unrestricted grant applications for projects and research which support Malaria Consortium's global technical strategy and address global health challenges consistent with our mission, with a particular focus on pneumonia and digital health.



A family of 3 at their remote farming location in Cambodia. Credit: Luke Duggleby

Thank you for reading

Incorporated in 2009 and receiving 501 (c)(3) status in 2011, Malaria Consortium-US, Inc. is the US entity of Malaria Consortium, one of the world's leading non-profit organisations specialising in the prevention, control and treatment of malaria and other communicable diseases among vulnerable populations.

Cover image: Play time at Benga Central Primary School in Biguli subcounty, Uganda